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PTO/SB/05 (4/98)

OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. 99-1852

First Inventor or  
Application Identifier Robert B. Tackman

Title System and Method for Preparing, Executing, and Securely Managing  
Electronic Documents

Express Mail Label No. EL349906345US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington DC 20231

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

1. ☒ \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

[Total Pages 34]

2. ☒ Specification

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113)

[Total Sheets 10]

4. Oath or Declaration

- a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))  
(for continuation/divisional with Box 16 completed)

[Total Pages 2]

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s)  
named in the prior application, see 37 C.F.R.  
§ 1.63(d)(2) and 1.33 (b).

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)  
6. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))  
8. ☐ 37 C.F.R. § 3.37(b) Statement \_\_\_\_\_ Power of  
(when there is an assignee) Attorney  
9. ☐ English Translation Document (if applicable) \_\_\_\_\_ Copies of IDS  
10. ☐ Information Disclosure \_\_\_\_\_ Citations  
Statement (IDS)/PTO-1449  
11. ☐ Preliminary Amendment  
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
13. ☐ \*Small Entity \_\_\_\_\_ Statement filed in prior application,  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)  
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
15. ☐ Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Group/Art Unit: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is  
considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can  
only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

or ☒ Correspondence address below

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

Name	Jennifer M. Stec			
Address	DaimlerChrysler Intellectual Capital Corporation			
City	CIMS 483-02-19	800 Chrysler Drive East	Zip Code	48326-2757
Country	Auburn Hills	State	Michigan	Fax
	US	Telephone	(248) 576-8021	(248) 576-7905
Name (Print or Type)	Jennifer M. Stec		Registration No.	35,379
Signature			Date	9/9/99

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FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

# FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

Application Number **Unknown**  
Filing Date **Unknown**  
First Named Inventor **Robert B. Tackman**  
Group Art Unit **Unknown**  
Examiner Name **Unknown**  
Attorney Docket No. **99-1852**

TOTAL AMOUNT OF PAYMENT **(\$ 838.00)**

## FEE CALCULATION (continued)

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: **03-1800**  
Account Name: **DaimlerChrysler Intellectual Capital Corporation**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	760.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) **(\$ 760.00)**

#### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from Below	Fee Paid
Total Claims	20	- 20 = X	=
Independent	4	- 3 = 1 X 78	= 78
Multiple Dependent		X	=

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$ 78.00)**

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2520	147 2520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1840*	113 1840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1360	218 680	Extension for reply within fourth month	
128 2060	228 1030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1510	138 1510	Petition to institute/public use proc.	
140 110	240 55	Petition to revive - unavoidable	
141 1320	240 660	Petition to revive - unintentional	
142 1320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional app.	
126 240	126 240	Submission Information Disclosure Stmt.	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Typed or Printed Name

**Jennifer M. Stec**

Signature

Date

**9/19/99**

Complete (if applicable)

Reg. Number

**35,379**

Deposit Account User ID

**03-1800**

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